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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

Garrett A. Smith

Title

Bicycle Crank Assembly

Express

EU956038766US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **12**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **6**]
5. Oath or Declaration [Total Pages **2**]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

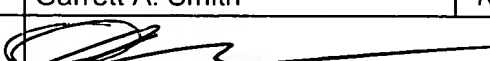
Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**
☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Garrett A. Smith				
Address	1365 Bishop Street				
City	San Luis Obispo	State	CA	Zip Code	93401
Country	U.S.A.	Telephone	805-549-0907	Fax	805-549-8228

Name (Print/Type)	Garrett A. Smith	Registration No. (Attorney/Agent)	
Signature		Date	10/20/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

03917 U.S. PTO  
10/689905

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) 412.00**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	
Examiner Name	
Group Art Unit	
Attorney Docket No.	

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☐ Check ☒ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370	Utility filing fee	370.00
106	330	206 165	Design filing fee	0.00
107	510	207 255	Plant filing fee	0.00
108	740	208 370	Reissue filing fee	0.00
114	160	214 80	Provisional filing fee	0.00

**SUBTOTAL (1)** (\$) 370.00**2. EXTRA CLAIM FEES**

	Total Claims	Extra Claims	Fee from below	Fee Paid
	11	-20** = 0	0.00	0.00
	4	-3** = 1	42.00	42.00
			0.00	0.00

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9	Claims in excess of 20
102	84	202 42	Independent claims in excess of 3
104	280	204 140	Multiple dependent claim, if not paid
109	84	209 42	** Reissue independent claims over original patent
110	18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$) 42.00

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65	Surcharge - late filing fee or oath	0.00
127	50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00
139	130	139 130	Non-English specification	0.00
147	2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	0.00
112	920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00
115	110	215 55	Extension for reply within first month	0.00
116	400	216 200	Extension for reply within second month	0.00
117	920	217 460	Extension for reply within third month	0.00
118	1,440	218 720	Extension for reply within fourth month	0.00
128	1,960	228 980	Extension for reply within fifth month	0.00
119	320	219 160	Notice of Appeal	0.00
120	320	220 160	Filing a brief in support of an appeal	0.00
121	280	221 140	Request for oral hearing	0.00
138	1,510	138 1,510	Petition to institute a public use proceeding	0.00
140	110	240 55	Petition to revive - unavoidable	0.00
141	1,280	241 640	Petition to revive - unintentional	0.00
142	1,280	242 640	Utility issue fee (or reissue)	0.00
143	460	243 230	Design issue fee	0.00
144	620	244 310	Plant issue fee	0.00
122	130	122 130	Petitions to the Commissioner	0.00
123	50	123 50	Processing fee under 37 CFR 1.17(q)	0.00
126	180	126 180	Submission of Information Disclosure Stmt	0.00
581	40	581 40	Recording each patent assignment per property (times number of properties)	0.00
146	740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
179	740	279 370	Request for Continued Examination (RCE)	0.00
169	900	169 900	Request for expedited examination of a design application	0.00
Other fee (specify)				0.00

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 0.00**SUBMITTED BY**

Name (Print/Type)	Garrett A. Smith	Registration No. (Attorney/Agent)	Telephone	805-549-0907
Signature			Date	10/20/03

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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